Index Internals Heikki Linnakangas / Pivotal

Index Access Methods in PostgreSQL 9.5

- B-tree
- GiST
- GIN
- SP-GiST
- BRIN

• (Hash)

 \dots but first, the Heap

Heap

- Stores all tuples in table
- Unordered

Copenhagen Amsterdam Berlin Astana

Athens Baku Zagreb Andorra la Vella

Bern Helsinki Brussels Bucharest

Budapest Chişinău Ljubljana Dublin

Kiev Bratislava Lisbon Stockholm

Heap

Divided into 8k blocks

Blk 0

Copenhagen Amsterdam Berlin Astana

Blk 1

Athens Baku Zagreb Andorra la Vella

Blk 2

Bern Helsinki Brussels Bucharest

Blk 3

Budapest Chişinău Ljubljana Dublin

Blk 4

Kiev Bratislava Lisbon Stockholm

TID: Physical location of heap tuple

Blk 0 2: Berlin

0: Copenhagen

1: Amsterdam

3:

4: Astana

0: Athens

Blk 1 2: Helsinki

3: Zagreb

4: Andorra la Vella

Example: Helsinki, Block 1, item 2 within block

Item pointer

Example: Helsinki, Block 1, item 2 within block

(1, 2)

- Block number and position within page
- Uniquely identifies a tuple version

Indexes in PostgreSQL

- Indexes store TIDs of heap tuples
 - except BRIN
- There is no visibility information in indexes
 - except for a simple "dead" flag, as an optimization
 - UPDATE inserts a new index tuple
 - Dead tuples are removed by VACUUM

B-tree

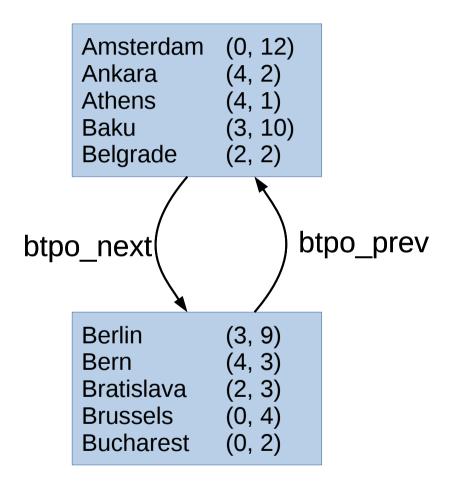
Good old B-tree

- Default index type
- Tuples are stored on pages, ordered by key
- Tree, every branch has same depth

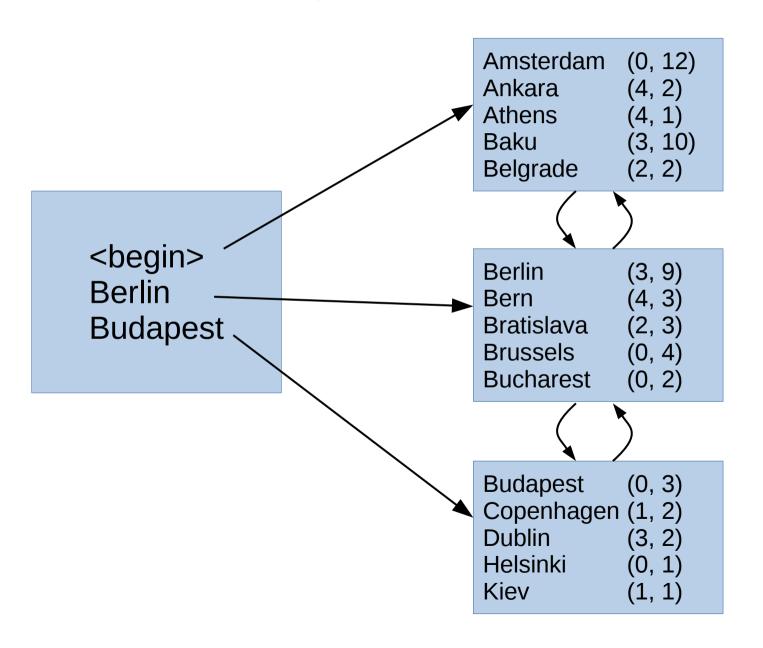
B-tree, single page

```
Amsterdam (0, 12)
Ankara (4, 2)
Astana (1, 9)
Athens (4, 1)
Baku (3, 10)
Belgrade (2, 2)
```

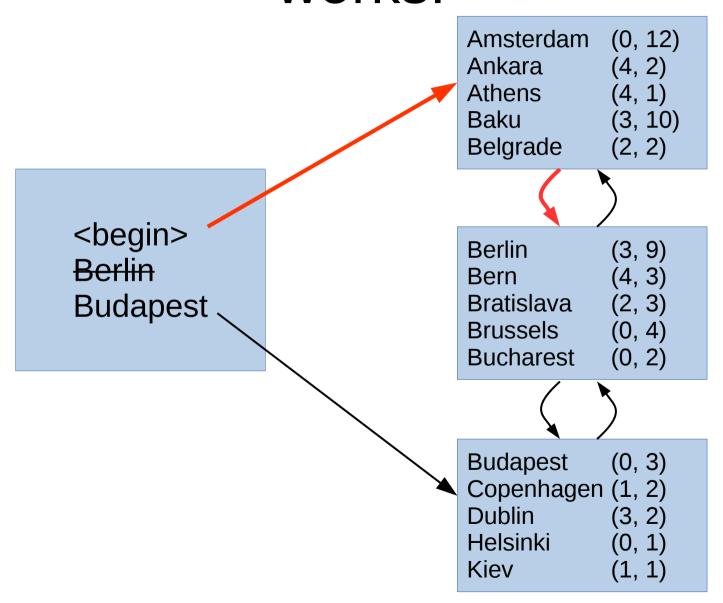
B-tree, leaf level



B-tree, two levels



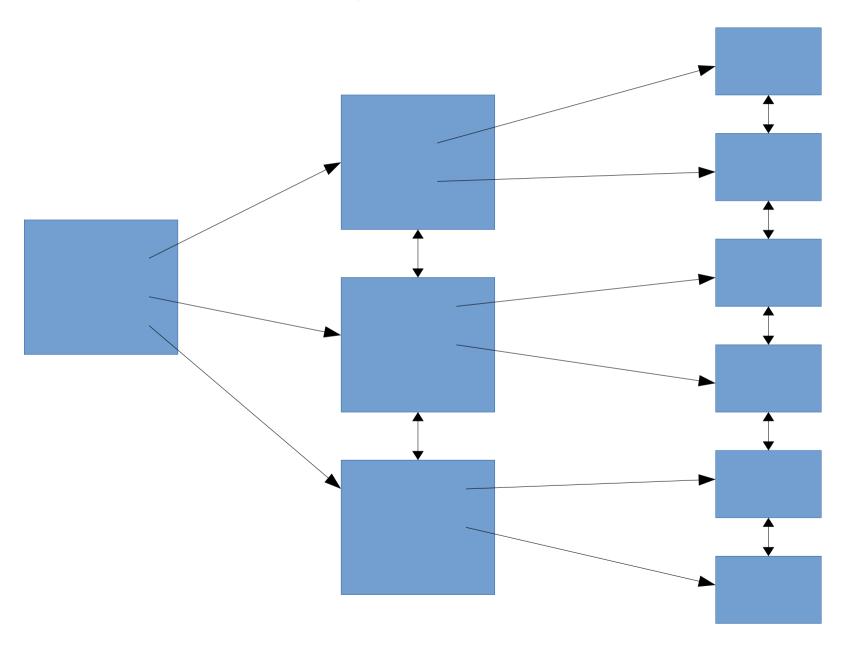
B-tree that's missing nodes still works!



B-tree details

- Lehman & Yao
- When a page becomes completely empty, it can be removed and recycled
- Half-empty pages are never merged
- Free Space Map to track unused pages

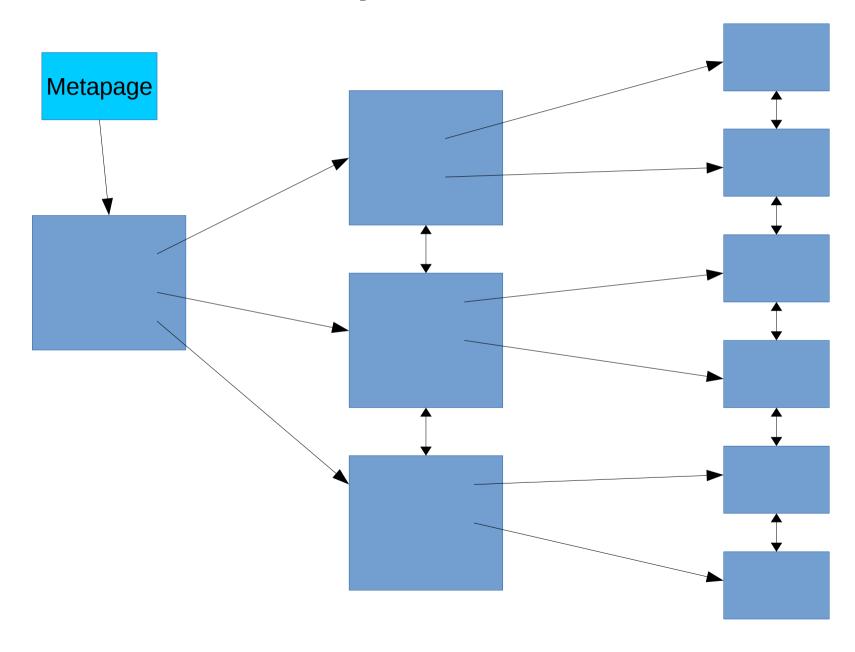
B-tree, three levels



Sidenote: Metapage

- Most index types in PostgreSQL has a metapage at block 0
 - All but GiST
- B-tree Metapage
 - Pointer to root page
 - Pointer to "fast root"

Complete B-tree



What can you do with a B-tree?

- Find key = X
- Find keys < X or > X
- ORDER BY
- LIKE 'foo%'

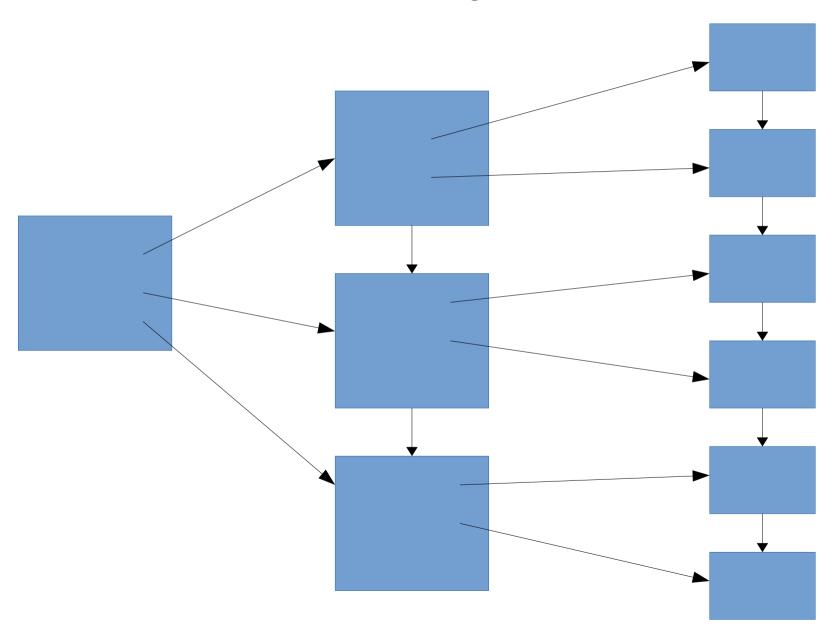
GIN

= Generalized Inverted Index

GIN

- Internal structure is basically just a B-tree
 - Optimized for storing a lot of duplicate keys
 - Duplicates are ordered by heap TID
- Interface supports indexing more than one key per indexed value
 - Full text search: "foo bar" → "foo", "bar"
- Bitmap scans only

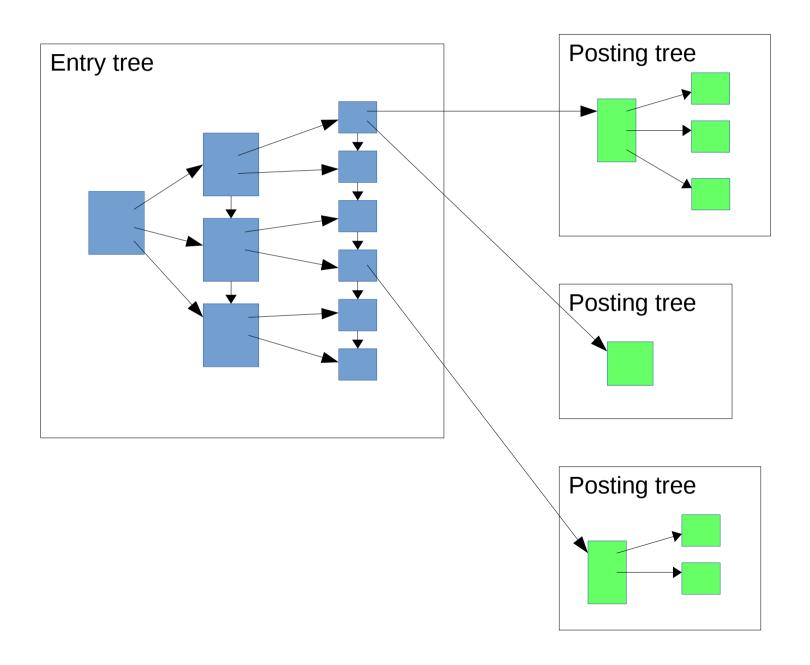
GIN entry tree



Three ways to store heap TIDs in entry item

- Single heap TID
 - trivial case, like normal B-tree
- Compressed list of heap TIDs
 - also known as a "posting list"
- Pointer (= blk #) to the root of posting tree
 - TIDs stored on a separate page or tree of pages, in TID order

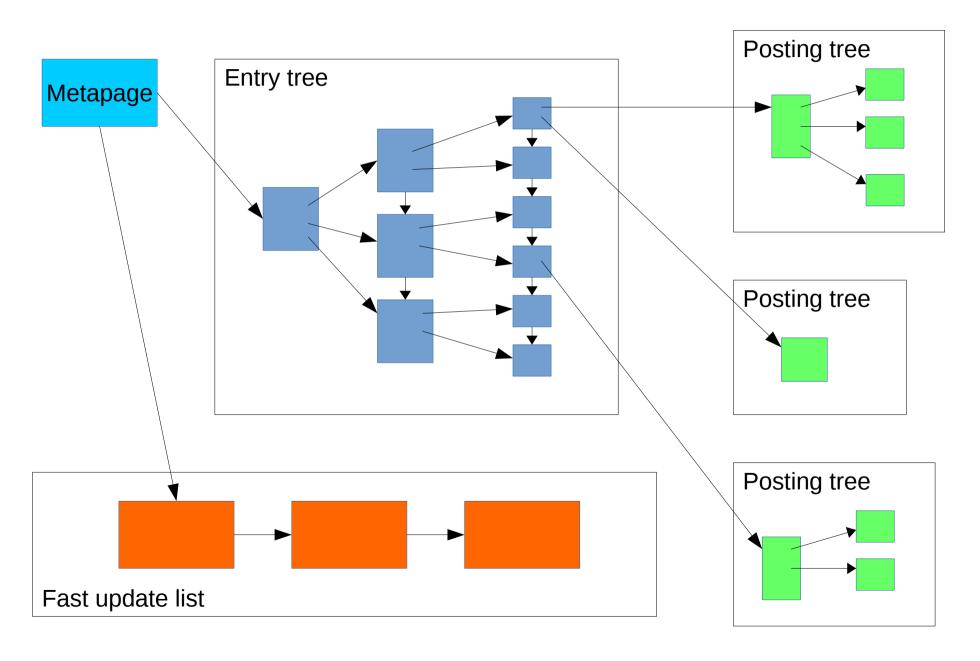
GIN



GIN "fast updates"

- Insertions to GIN index go to a list of "fast updated" tuple.
 - Every search scans the list in addition to index
- Moved to index proper by VACUUM
 - Or by inserts, if grows too big
- Can be disabled with FASTUPDATE = off option

Complete GIN



GiST

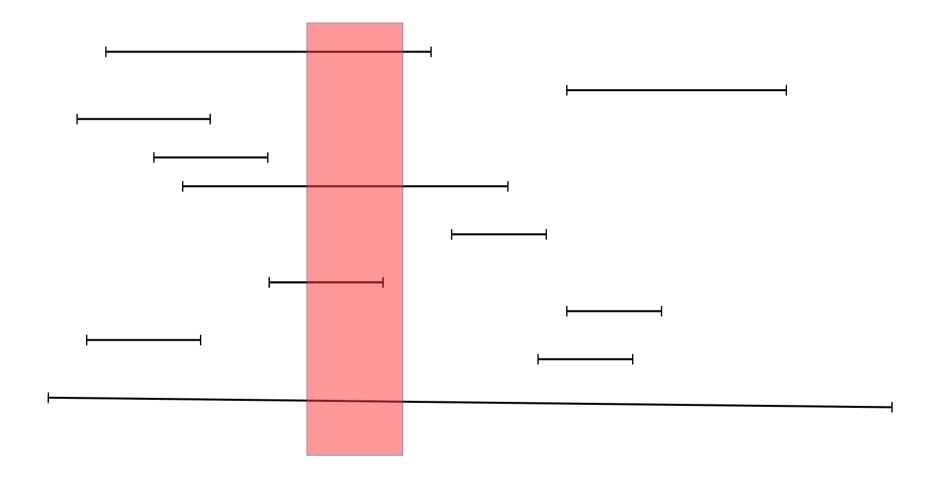
= Generalized Search Tree

GiST

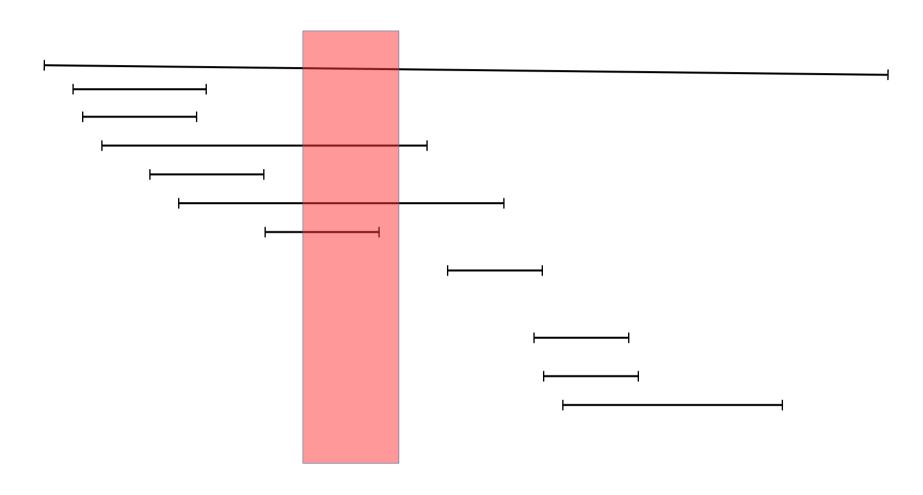
- Tree-structure
- No order within pages
- Key ranges of pages can overlap
 - No single "correct" location for a particular tuple

Range types

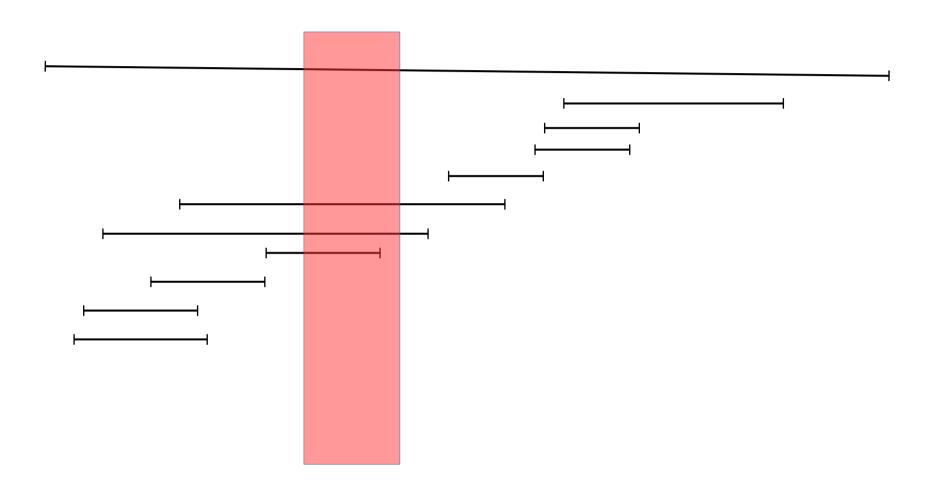
Find ranges that overlap



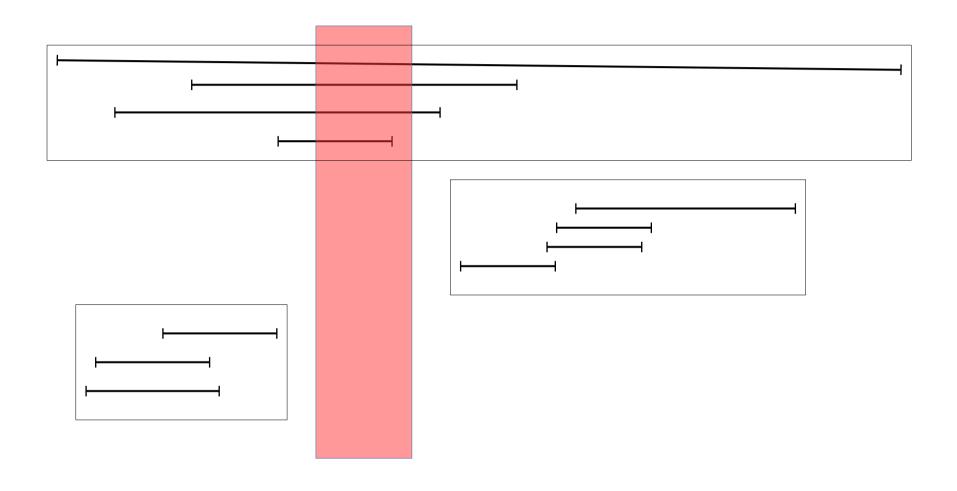
Sort by min



Sort by max



Group into clusters

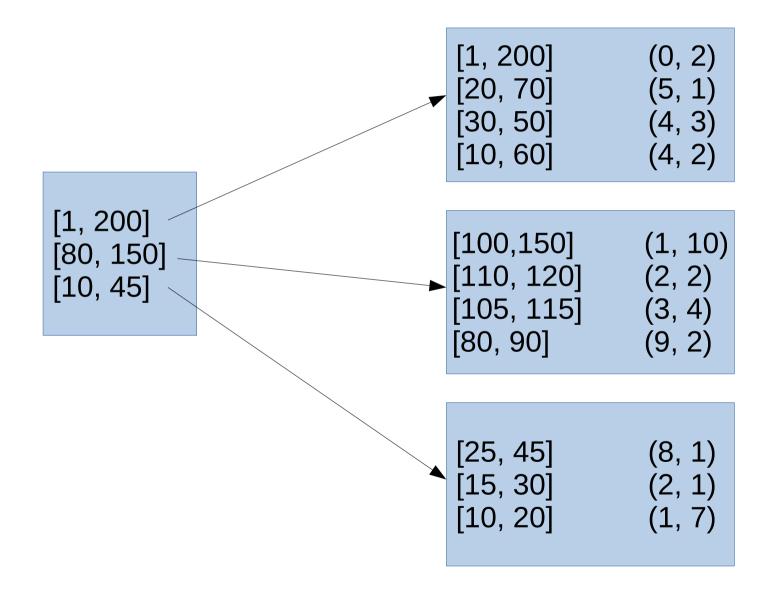


GIST, single page

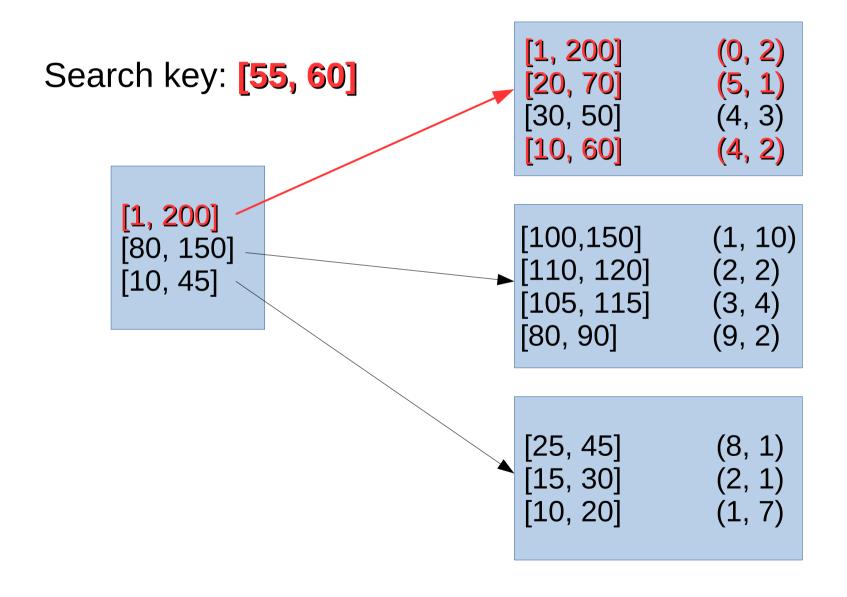
- Stores key + TID
- One index tuple per heap tuple
- Unordered

```
(1, 10)
[100,150]
[1, 200]
              (0, 2)
[10, 60]
              (4, 2)
[30, 50]
              (4, 3)
[20, 70]
              (5, 1)
[110, 120]
              (2, 2)
[15, 30]
              (2, 1)
              (3, 4)
[105, 115]
[80, 90]
              (9, 2)
[25, 45]
              (8, 1)
[10, 20]
              (1, 7)
```

GIST, two levels



GIST search



GiST

- Loose ordering
- Any key can legitimately be stored anywhere in the tree
 - As long as the keys in the upper levels are updated accordingly.
 - Performance goes out the window if you do that.
- Performance depends on how well the userdefined Picksplit and Choose functions can group keys

What can you do with GiST?

- GIS stuff
- Find points within a bounding box
- Nearest Neighbor

GiST, not only for geometries

- Contrib/intarray
- Full-text search

- Upper node "contains" everything below it
 - For points, a bounding box of all points below it
 - For intarray, the OR of all the nodes below it

SP-GiST

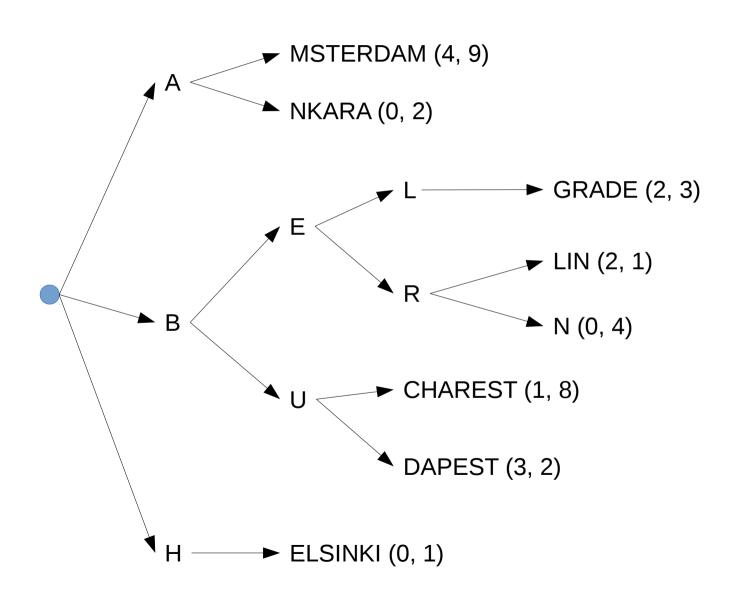
= Space-Partitioned GiST

SP-GiST

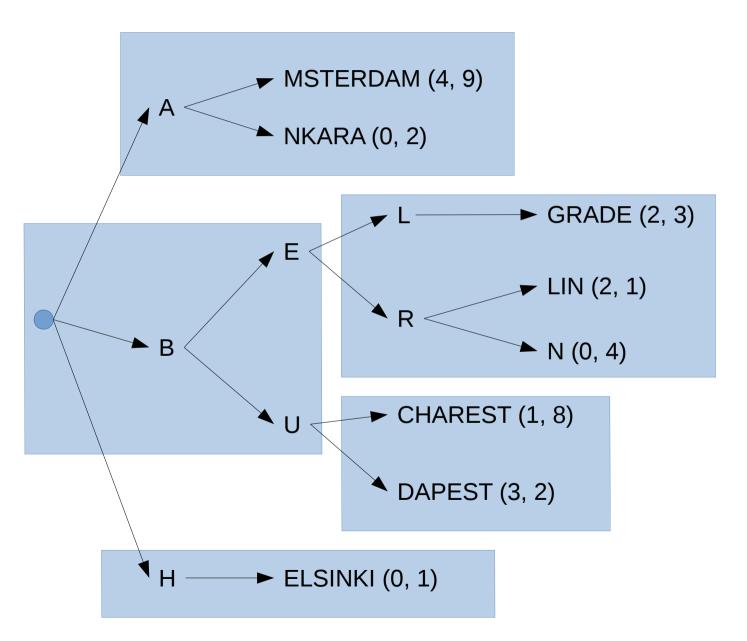
Space-Partitioned GIST

- No overlap between nodes
- Quite different from GiST
- Variable depth
- Multiple nodes per physical page

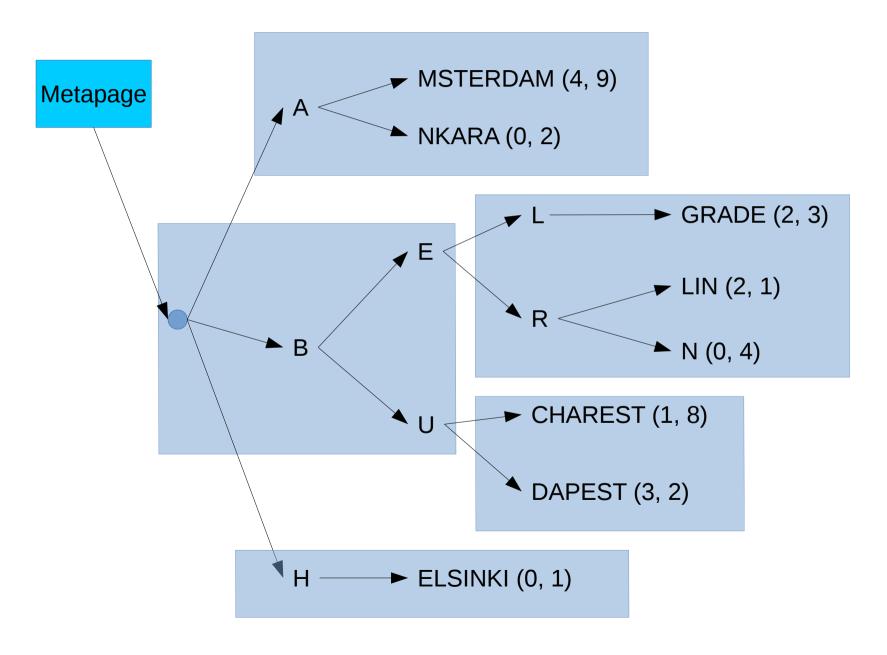
SP-GiST example: Trie



SP-GiST page layout



SP-GiST page layout



What can you do with it

- Kd-tree
 - Points only; shapes might overlap
- Prefix tree for text

BRIN

= Block Range Index

BRIN

- Not a tree
- Contains one entry per heap block (or range of heap blocks)
- Very compact
- Summary information for each block range

Approximation #1

BRIN Index

Heap

Amsterdam Andorra la Vella Ankara Astana

Athens Baku Belgrade Berlin

Bern Bratislava Brussels Bucharest

Budapest Chişinău Copenhagen Dublin

Helsinki Kiev Lisbon Ljubljana

- 0: Amsterdam Astana
- 1: Athens Berlin
- 2: Bern Bucharest
- 3: Budapest Dublin
- 4: Helsinki Ljubljana

Approximation #2

BRIN Index

IN He

Heap

Amsterdam Andorra la Vella Ankara Astana

Athens Baku Belgrade Berlin

Bern Bratislava Brussels Bucharest

Budapest Chişinău Copenhagen Dublin

Helsinki Kiev Lisbon Ljubljana

3: Budapest – Dublin

0: Amsterdam – Astana

2: Bern – Bucharest

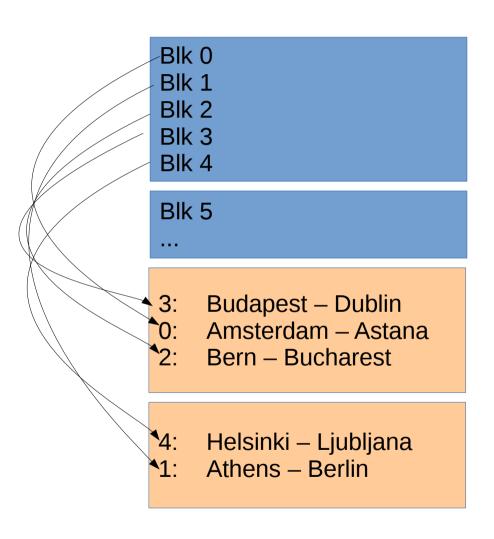
4: Helsinki – Ljubljana

1: Athens – Berlin

- - -

Approximation #3

BRIN Index



Heap

Amsterdam Andorra la Vella Ankara Astana

Athens Baku Belgrade Berlin

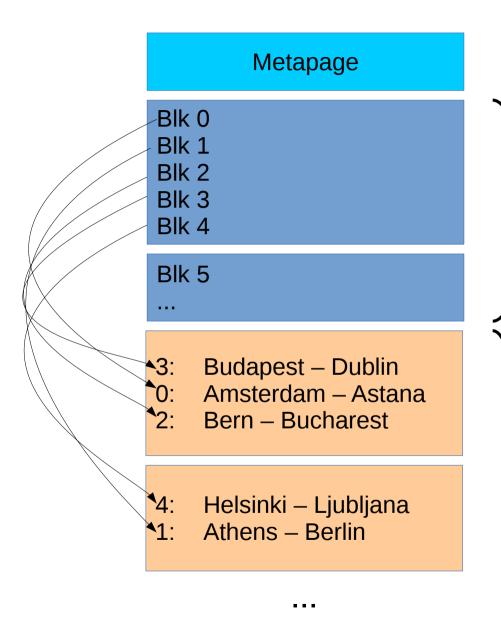
Bern Bratislava Brussels Bucharest

Budapest Chişinău Copenhagen Dublin

Helsinki Kiev Lisbon Ljubljana

. . .

Complete BRIN



Metapage

Revision map

Contains fixed-width slot for each heap block range, pointing to the BRIN tuple for that range.

"Regular" BRIN pages

Contain BRIN tuples, in no particular order

BRIN: clustering is important!

0: Amsterdam – Astana

1: Athens – Berlin

2: Bern – Bucharest

3: Budapest – Dublin

4: Helsinki – Ljubljana

Amsterdam Andorra la Vella Ankara Astana

Athens Baku Belgrade Berlin

Bern Bratislava Brussels Bucharest

Budapest Chişinău Copenhagen Dublin

Helsinki Kiev Lisbon Ljubljana

BRIN: clustering is important!

UPDATE cities SET name='Zagreb' WHERE ...

- 0: Amsterdam Zagreb
- 1: Athens Zagreb
- 2: Bern Zagreb
- 3: Budapest Zagreb
- 4: Helsinki Zagreb

Amsterdam

Zagreb

Ankara

Astana

Athens

Baku

Zagreb

Berlin

Bern

Zagreb

Brussels

Bucharest

Budapest

Zagreb

Copenhagen

Dublin

Helsinki

Kiev

Zagreb

Ljubljana

What can you do with BRIN?

- Min-max for each block range
- Allows <, =, > searches
 - Much slower than B-tree lookups
 - Always scans the whole index (which is tiny though)
 - Always scans the whole heap page (range)
- Store bounding box for points, shapes
- Bloom filters

What can you do with BRIN?

- Good for large tables with natural or accidental ordering
 - Tables loaded in primary key order
 - Timestamp columns
- A single out-of-order tuple in a page will "pollute" the index, and searches degenerate to full sequential scans.

Summary

- B-tree
 - = <>
- GIN
 - B-tree on steroids
 - Stores duplicates efficiently
 - Multiple keys per heap tuple
- GiST
 - "containment" hierarchy

- Sp-GIST
 - Non-overlapping
- BRIN
 - Containment
 - For clustered data
 - Tiny index, slow searches